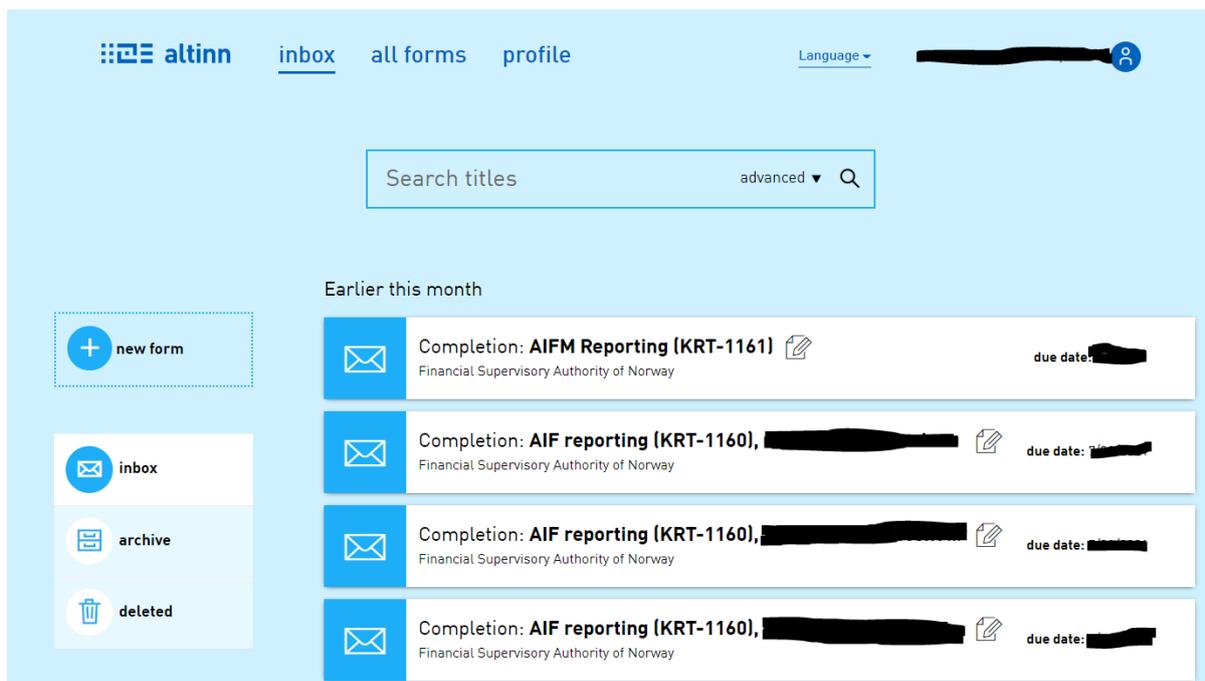


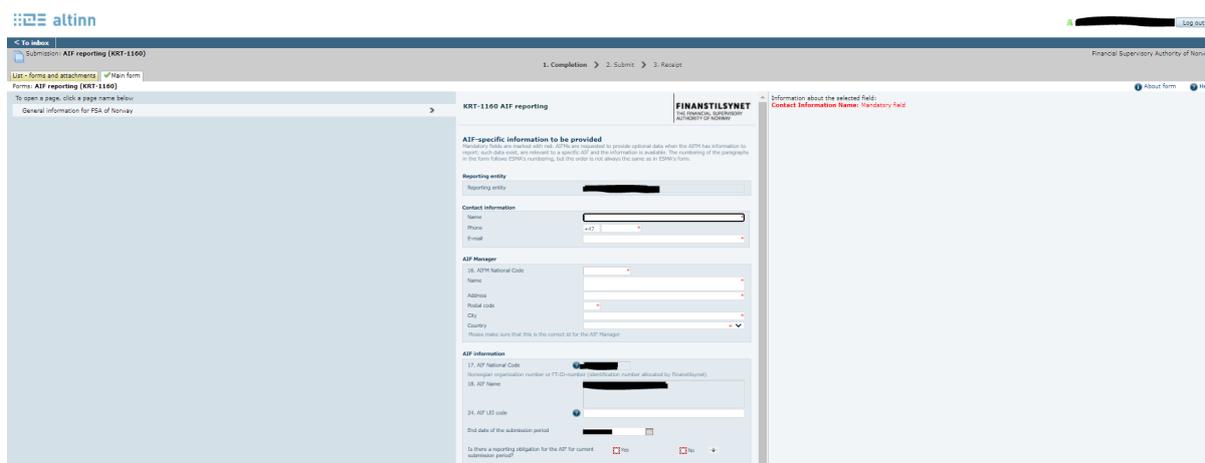
Guide: How to report AIFMD (Annex IV) in Altinn.no

First, you will have to log in to Altinn.no. Please follow instructions on "Guide how to log in to Altinn.no". The document is published on Finanstilsynet.no/en/

1) When logged in to Altinn.no, the forms (KRT-1160 and KRT-1161) will be available in your inbox. Be aware that the forms will be sent to your inbox approximately one week after the end of the quarter. It will look like this: (numbers of KRT-1160s will depend of number of AIFs marketed in Norway)

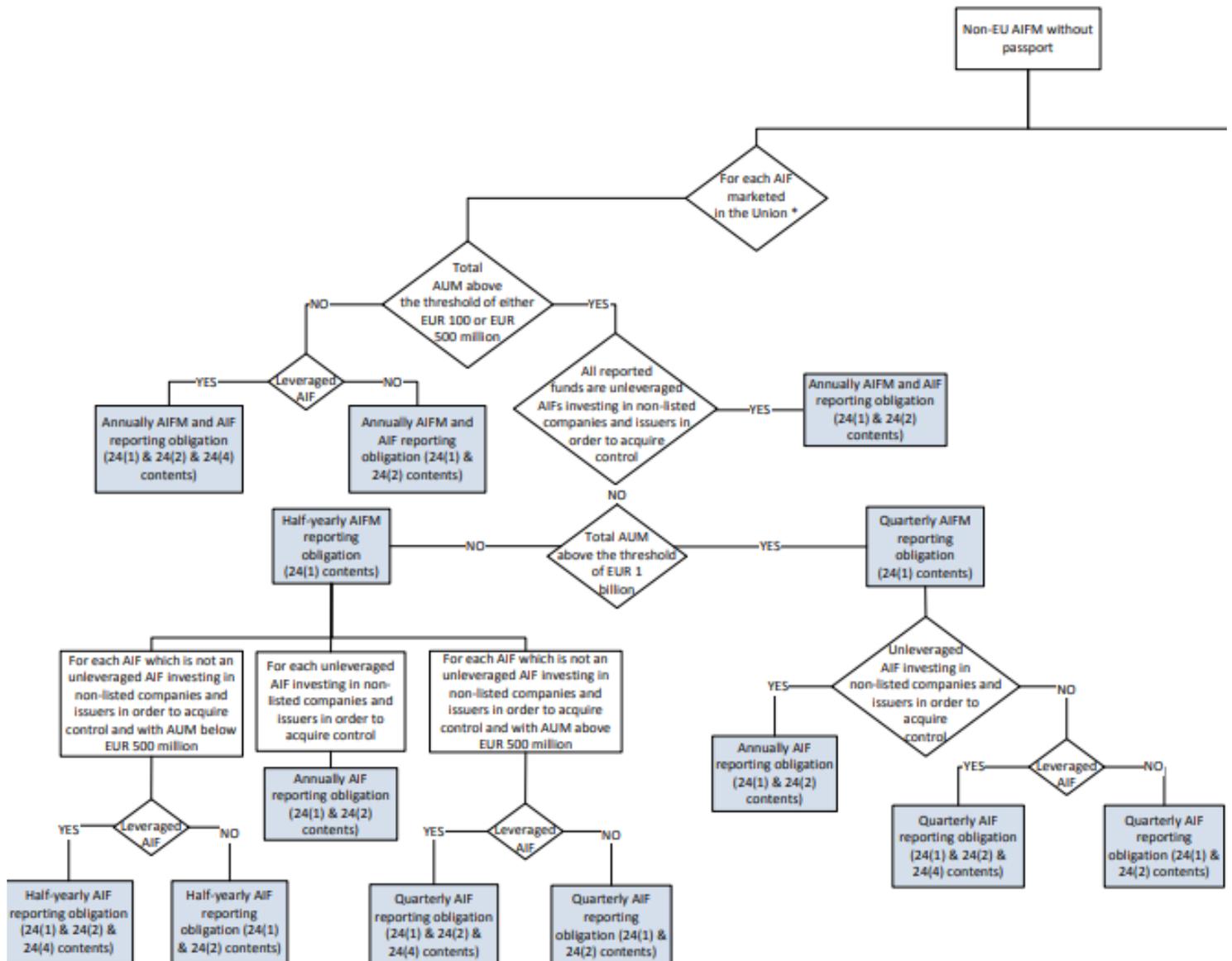


2) Click on the form and then "go to form completion". Then "general information for FSA of Norway" will appear:



This information must be filled out every quarter. The last question "Is there a reporting obligation for the AIF for current submission period?" relates to reporting obligation regarding Commission Delegated Regulation (EU) No 231/2013. To determine reporting

obligation, please follow this reporting obligation diagram for no-EU AIFMs marketing AIFs in Norway: (Non-EU AIFMs under Private Placement Regime)



For each AIF not marketed in Norway, there is no reporting to Norway.

3)

a) If there is no obligation for current quarter according to this diagram, please choose "no". Then you will have to fill out information about Total AuM amount of the AIF (or AIFM) in Euro and whether the AIF (or AIFM) utilise leverage. Then the form is ready to be submitted.

b) If there is a reporting obligation for the current quarter according to the diagram, please choose "yes". Then a new question will appear "Report only through xml file attachment?"

This is to determine whether you will upload a xml file (similar file as earlier uploaded through SFTP) or fill out a schema manually.

If you choose to upload xml file, please choose "yes". Then "XML attachment is missing" will appear in red. Please go to "List – forms and attachments":

The screenshot shows the 'AIF reporting (KRT-1160)' form. The left sidebar has a yellow highlight on 'List - forms and attachments' and a black arrow pointing to the 'Main form' link. The main content area is titled 'KRT-1160 AIF reporting' and includes the FINANSTILSYNET logo. The form contains several sections: 'Reporting entity', 'Contact information', 'AIF Manager', and 'AIF information'. The 'AIF information' section has a red error message: 'XML attachment is missing'. The progress bar at the top shows '1. Completion', '2. Submit', and '3. Receipt'.

Then go to "file attachment type" and choose "XML Vedlegg". Then "Attach file" and you can upload the XML file. Please be aware that the xml file only can contain information about one AIF in each file, i.e. you will need to have one separate XML file each AIF marketed in Norway.

The screenshot shows the 'List - forms and attachments' page. The top left has the 'altinn' logo. The page title is 'Submission: AIF reporting (KRT-1160)'. The progress bar shows '1. Completion', '2. Submit', and '3. Receipt'. The 'Forms' section has a table with one row: 'AIF reporting (KRT-1160), FT00112451, DBAG Fund VIII A (Guernsey) LP' with a red 'Contains errors' status. Below the table, it says 'Other forms can not be attached to this form.' The 'File attachments' section has a dropdown menu set to 'XML Vedlegg' and an 'Attach file' button. At the bottom right, there are 'Check all' and 'Proceed to submission' buttons.

When the file is uploaded. Please choose "check all". If everything is OK, then "proceed to submission".

If you choose to fill out the schema manually, please choose "no". Then "general information for ESMA" will appear and you can start filling out the schema manually.