



Reference number	
Date	

## Annex I

### Notification in accordance with Article 34 (2) or (4) of the Markets in Financial Instruments Directive II (2014/65/EU)

#### Notice of intention to provide cross border investment services and activities or change of investment services and activities particulars

(Articles 3 and 6 of Commission Implementing Regulation (EU) 2017/2382)

Firm name	
Organization number	
Date (dd.mm.yyyy)	

#### Contact Information

Type of notification	Investment services and activities passport notification Change of investment services and activities particulars notification
Member State in which the investment firm/credit institution intends to operate	
Name of investment firm/credit institution	
Trading name	
Address	
Telephone number	
Email	
Name of the contact person at the investment firm/credit institution	
Home Member State	
Authorisation Status	Authorised by Finanstilsynet
Authorisation Date	

## Programme of operations

### Intended investment services, activities and ancillary services<sup>1</sup>

Please indicate all the investment services, activities, ancillary services and financial instruments the firm will provide.

	Investment services and activities										Ancillary Services						
	A1	A2	A3	A4	A5	A6	A7	A8	A9	B1	B2	B3	B4	B5	B6	B7	
Financial Instruments	C1																
	C2																
	C3																
	C4																
	C5																
	C6																
	C7																
	C8																
	C9																
	C10																
	C11																

### Details of Tied Agent located in the Home Member State

Name of the tied agent	Address	Telephone	Email	Contact

<sup>1</sup> For the purposes of a change of investment services and activities particulars notification please complete only the parts of the form which are relevant to the notified changes. If the intention is to make changes to the investment services, activities, ancillary services or financial instruments, please list all the investment services, activities, ancillary services or financial instruments the firm will provide.

**Intended investment services to be provided by the tied agent<sup>2</sup>**

Please indicate all the investment services, activities, ancillary services and financial instruments the tied agent will provide.

	Investment services and activities									Ancillary Services						
	A1	A2	A3	A4	A5	A6	A7	A8	A9	B1	B2	B3	B4	B5	B6	B7
<b>Financial Instruments</b>	C1															
	C2															
	C3															
	C4															
	C5															
	C6															
	C7															
	C8															
	C9															
	C10															
	C11															

The notification and other relevant documentation should be send to [post@finanstilsynet.no](mailto:post@finanstilsynet.no) .

Please note the following regarding encryption of email:

*Finanstilsynet uses Transport Layer Security (TLS) for encryption of e-mail to ensure that data are securely exchanged over a network. The sender of an e-mail is responsible for encrypting e-mails with sensitive data.*

Please contact Finanstilsynet for further information TLS encryption.

---

<sup>2</sup> For the purposes of a change of investment services and activities particulars notification please complete only the parts of the form which are relevant to the notified changes. If the intention is to make changes to the investment services, activities, ancillary services or financial instruments, please list all the investment services, activities, ancillary services or financial instruments the firm will provide.